

PRIVATE CLIENTS

Form for Nomination – Demat/Broking

(To be filled in by individual applying singly or jointly)

Barclays Securities (India) Private Limited

Registered office: 208 Ceejay House, Shivsagar Estate, Dr Annie Besant Road, Worli, Mumbai 400018, India

Telephone: +91-22-6719 6363, CIN U67120MH2006PTC161063

☐ I/We wish to make a nomination. [As per details given below]

Nomination Details

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my/our account in the event of my/our death.

Nomination can be made upto three nominees in the account.		Details of 1st Nominee		Details of 2nd Nominee		Details of 3rd Nominee	
1	Name of the nominee(s) (Mr. <input type="checkbox"/> /Ms. <input type="checkbox"/>)						
2	Share of each Nominee	Equally [If not equally, please specify percentage]	%	%	%	Any odd lot after division shall be transferred to the first nominee mentioned in the form.	
3	Relationship With the Applicant (If Any)						
4	Address of Nominee(s) City / Place: State & Country:						
		PIN Code					
5	Mobile / Telephone No. of nominee(s)#						
6	Email ID of nominee(s)#						
7	Nominee Identification details# – [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID						
Sr. Nos. 8 - 14 should be filled only if nominee(s) is a minor:							
8	Date of Birth {in case of minor nominee(s)}						
9	Name of Guardian (Mr. <input type="checkbox"/> /Ms. <input type="checkbox"/>) {in case of minor nominee(s) }						
10	Address of Guardian(s) City / Place: State & Country:						
		PIN Code					
11	Mobile / Telephone no. of Guardian#						
12	Email ID of Guardian#						
13	Relationship of Guardian with nominee						
14	Guardian Identification details# – [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID						

Date	<input type="text" value="D"/>	<input type="text" value="D"/>	/	<input type="text" value="M"/>	<input type="text" value="M"/>	/	<input type="text" value="Y"/>	<input type="text" value="Y"/>	<input type="text" value="Y"/>	<input type="text" value="Y"/>
DP ID	<input type="text" value="I"/>	<input type="text" value="N"/>	<input type="text" value="3"/>	<input type="text" value="0"/>	<input type="text" value="3"/>	<input type="text" value="5"/>	<input type="text" value="5"/>	<input type="text" value="9"/>		
UCC No.	<input type="text"/>									
Client ID	<input type="text"/>									

Name(s) of holder(s)		Signature(s) of holder*
Sole / First Holder (Mr. <input type="checkbox"/> /Ms. <input type="checkbox"/>)		
Second Holder (Mr. <input type="checkbox"/> /Ms. <input type="checkbox"/>)		
Third Holder (Mr. <input type="checkbox"/> /Ms. <input type="checkbox"/>)		

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Optional Fields (Information required at Serial nos. 5, 6, 7, 11, 12 & 14 is not mandatory)

Name and Signature of Holder(s)*

1. _____ 2. _____ 3. _____

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Signature of Witness for Nomination		
Name of the Witness	Address	Signature of witness
		<div>Date <input type="text" value="D"/><input type="text" value="D"/> / <input type="text" value="M"/><input type="text" value="M"/> / <input type="text" value="Y"/><input type="text" value="Y"/><input type="text" value="Y"/><input type="text" value="Y"/></div>

Notes:

- This nomination shall supersede any prior nomination made by the account holder(s), if any.
- The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)
- The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Nonindividuals including society, trust, body corporate, partnership firm and Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
- A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
- Only individual/natural person(s) can be a nominee(s). The Nominee(s) shall not be artificial person created/dressed by the law or by a fiction such as trust, society, body corporate, partnership firm, Hindu Undivided Family, etc. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
- Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
- Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.
- The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non-individuals including society, trust, body corporate, partnership firm and Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
- On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(s).
- Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be to settle the claims equally amongst all the nominees.
- On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned in the FORM 10 at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.
- Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents, as provided in Annexure D.
- Savings bank account details shall only be considered if the account is maintained with the same participant.
- DP ID and client ID shall be provided where demat details is required to be provided.

Barclays offers wealth and investment products and services to its clients through Barclays Bank PLC registered in England and operates in India through its subsidiaries, including Barclays Securities (India) Private Limited (BSIPL). BSIPL is a company incorporated under the Companies Act, 1956 having CIN U67120MH2006PTC161063. BSIPL is registered and regulated by the Securities and Exchange Board of India (SEBI) as a Portfolio Manager INP000002585, Stock Broker INZ000269539 (member of NSE and BSE), Research Analyst: INH000001519; Depository Participant: IN-DP-478-2020 (DP of NSDL), Investment Adviser: INA000000391. BSIPL is also registered with BSE Administration and Supervision Limited (BASL): BASL1768 and as a Mutual Fund Distributor having AMFI ARN No. 53308. The registered office of BSIPL is at 208, Ceejay House, Shivsagar Estate, Dr. A. Besant Road, Worli, Mumbai – 400 018, India. Telephone No: +91 22 67196363, Fax number: +91 22 67196399 Chief Compliance Officer contact details: Name: Mr. Vaibhav Purohit, Contact number: +91 22 61752271, E-mail: bsiplcompliance@barcap.com Investor Grievance E-mail: BSIPL.concerns@barcap.com Website: www.barclays.in/bsipl.