

PRIVATE CLIENTS

For internal use only

Client code:

Type of Account being opened : _____
(L3/Investment/Demat/Broking/PMS)

Barclays Securities (India) Private Limited

Registered office: 208 Ceejay House,
Shivsagar Estate, Dr Annie Besant Road,
Worli, Mumbai 400018, India
Telephone: +91-22-6719 6363
CIN U67120MH2006PTC161063

Know your client (KYC) Application Form Part 1 for Non-individuals

Please fill this form in English and in BLOCK LETTERS.

For office use only

Application type*: ☐ New ☐ Update

PAN*: Please enclose a duly attested copy of your PAN Card

CKYC number:

(To be filled by financial institution)

A. Identity details of applicant/client

1. Name of the applicant*:

2. Date of incorporation*: & Place of incorporation*:

3. Country of Incorporation/Formation*: TIN or Equivalent Issuing Country

4. Date of commencement of business:

5. Registration No. (e.g. CIN)*:

6. TIN/GST Registration Number Form 60 furnished ☐

7. Status (please tick any one)*:

Private Ltd Co	<input type="checkbox"/>	Public Ltd Co	<input type="checkbox"/>	Body Corporate	<input type="checkbox"/>	Partnership	<input type="checkbox"/>
Trust	<input type="checkbox"/>	Charities	<input type="checkbox"/>	FI	<input type="checkbox"/>	FPI Cat. 1	<input type="checkbox"/>
FPI Cat. 2	<input type="checkbox"/>	FPI Cat. 3	<input type="checkbox"/>	HUF	<input type="checkbox"/>	AOP	<input type="checkbox"/>
Bank	<input type="checkbox"/>	LLP	<input type="checkbox"/>	Government Body	<input type="checkbox"/>	BOI	<input type="checkbox"/>
Society	<input type="checkbox"/>	NGO	<input type="checkbox"/>	Defence Establishment	<input type="checkbox"/>		

Others (please specify)

B. Proof of Identity (PoI)* (Please refer instruction B at end)

<input type="checkbox"/>	Officially valid document(s) in respect of person authorised to transact	
<input type="checkbox"/>	Certificate of Incorporation / Formation	<input type="text"/>
<input type="checkbox"/>	Registration Certificate	<input type="text"/>
<input type="checkbox"/>	Memorandum and Articles of Association	<input type="checkbox"/> Partnership Deed

- ☐ Trust Deed ☐ Board resolution
- ☐ Power of attorney granted to its manager, officers or employees to transact on its behalf
- ☐ Activity Proof - 1 (For Sole Proprietorship Only) ☐ Activity Proof - 2 (For Sole Proprietorship Only)

C. Address details*

1. Registered Address*

City/town/village*:		Pin Code*:	
State*:		Country*:	

Proof of Address* (attested copy of any one POA to be submitted — #Not more than 3 months old)

<input type="checkbox"/> Certificate of Incorporation/Formation	<input type="checkbox"/> Registration Certificate	<input type="checkbox"/> Other document _____
<input type="checkbox"/> Latest Telephone Bill# (Landline only)	<input type="checkbox"/> Latest Electricity Bill#	<input type="checkbox"/> Latest Bank Account Statement#
<input type="checkbox"/> Registered Lease/ Sale Agreement of Office Premises	Validity/Expiry Date of POA (Expiry Date) _____	
<input type="checkbox"/> Any other proof of address document (as listed overleaf) _____		

2. Correspondence/Local Address in India (if different from above)*

City/town/village:		State:	
Country:		Pin Code:	
Contact Details: (please prefix STD code)			
Telephone (Office)		Telephone (Residence)	
Mobile number*:		Fax:	
Email id*:			

Proof of Address* (attested copy of any one POA to be submitted — #Not more than 3 months old)

<input type="checkbox"/> Certificate of Incorporation/Formation	<input type="checkbox"/> Registration Certificate	<input type="checkbox"/> Other document _____
<input type="checkbox"/> Latest Telephone Bill# (Landline only)	<input type="checkbox"/> Latest Electricity Bill#	<input type="checkbox"/> Latest Bank Account Statement#
<input type="checkbox"/> Registered Lease/ Sale Agreement of Office Premises	Validity/Expiry Date of POA (Expiry Date) _____	
<input type="checkbox"/> Any other proof of address document (as listed overleaf) _____		

D. Annexures Submitted

Number of related persons (Please refer instruction E at the end)

E. Remarks (If any)

Declaration

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Where I/we have submitted this form as a 'Change Request', I/We understand that basis this submission you will inform the KRA to update my/our KYC records held with them and you will also update your records with respect to all accounts held by me/us with you either singly or jointly except the depository account for which I/we shall submit the change of KYC details form as prescribed by the Depository.

I/we hereby consent to receiving information from Central KYC Registry through SMS/Email on the above registered number/ email address.

Applicant/Client name:

Name and Signature of the authorised signatory(ies)
(Company seal)

First signatory e-signature

First signatory name

Second signatory e-signature

Second signatory name

Third signatory e-signature

Third signatory name

Date: / /

Signature

First signatory wet signature

Second signatory wet signature

Third signatory wet signature

Institution details

Name

Code

For office use only

In-Person Verification Details (IPV)

Originals verified and Self-Attested Document copies received

I have met Mr ☐ /Ms ☐

Mr ☐ /Ms ☐

Mr ☐ /Ms ☐

in person and hereby confirm the identity and address provided in this form, signed by the applicant(s).

Name of the employee*

Employee ID*

Designation*

Organisation*

Signature (with stamp)*

Place of meeting

Date of IPV

Signature of the authorised signatory (with Seal/
Stamp of BSIPL)

Name of the authorised signatory

Instructions/Check list for filling KYC form Part 1

A. General Instructions:

1. Self-attestation of documents is mandatory.
2. Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per below list mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent addresses are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/ PIOCard/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/ Passport of Minor/Birth Certificate must be provided.
11. Politically exposed persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country e.g., Head of State or of Government, senior politician, senior government/judiciary/military officer, senior executive of state owned corporation, important political party official, etc.

B. Proof of Identity (POI)

1. PAN card with photograph is mandatory for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Original Verified Documents (OVD) are acceptable: Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving License / Letter issued by NPR / NREGA job card
3. If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
4. Mention identification / reference number if 'Z – Others (any document notified by the central government)' is ticked.
5. Others – Identity card with applicant's photograph issued by any of the following: Central/ State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA)

1. PoA to be submitted only if the submitted PoI does not have an address or address as per PoI is invalid or not in force.
2. Others includes – Utility bill which is not more than 3 months old of any service provider (electricity, landline telephone, piped gas, water bill); Bank account or Post Office savings bank account statement; Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India
3. Identity card with applicant's photograph and address issued by any of the following: Central/ State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members.
4. Self declaration of High courts/Supreme court judges, giving the new address in respect of their own accounts.
5. Proof of address in name of spouse may be accepted.
6. Registered lease or Sale agreement/ Flat maintenance bill / Insurance copy / Ration card / Latest Property tax
7. Original Verified Documents (OVD) are acceptable: Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving License / Letter issued by NPR / NREGA job card

D. Exemptions/Clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected)

1. Investments (including SIPs), in Mutual Fund schemes up to INR 50,000/- per investor per year per Mutual Fund.
2. Transactions undertaken on behalf of Central/State Government, by officials appointed by Courts, e.g., Official liquidator, Court receiver, etc.
3. Investors residing in the state of Sikkim.
4. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
5. In case of institutional clients, namely FIIs, MFs, VCFs, FVCIs, Scheduled commercial bank, Multilateral and Bilateral development financial institutions, State Industrial development corporations, insurance companies registered with IRDA and public financial institutions as defined under section 4A of the Company Act 1956, custodians shall verify the PAN card details with the original PANs and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Authorized officials of Asset Management Companies (AMCs).
2. Authorized officials of Registrar & Transfer Agent (RTA) acting on behalf of the AMC.
3. KYC compliant mutual fund distributors affiliated to Association of Mutual Funds (AMFI) and have undergone the process of 'Know Your Distributor (KYD)'.
4. Notary Public, Gazette Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
5. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/ Consulate General in the country where the client resides are permitted to attest the documents.

F. Online Mode Processing of KYC:

1. ONLINE KYC
 - a. Applicant may directly upload their documents (OVD) as scanned images on intermediary's portal.
 - b. The documents should be digitally signed using DSC.
 - c. Intermediary attestation on documents (OSV) is exempted.

G. In case of Non-Individuals, additional documents to be obtained from non-individuals, over and above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none">• Copy of Balance Sheet for the last to financial years (to be submitted every year).• Copy of latest share-holding pattern including the list of all those holding control, either directly or indirectly , in the company in terms of SEBI takeover regulations, duly certified by the company secretary/ whole time director/ MD (to be submitter every year).• Photograph, POI, POA, PAN and DIN number of the whole time Director/ 2 directors in charge of day to day operations.• Photograph, POI, POA, PAN of individual promoters holding control—either directly or indirectly.• Copy of Memorandum and Articles of Association and Certificate of Incorporation.• Copy of Board Resolution for Investment in security markets.• Authorized signatories list with specimen signatures.• Shareholding pattern.
Partnership firm	<ul style="list-style-type: none">• Copy of Balance Sheet for the last to financial years (to be submitted every year).• Certificate of Registration (for registered partnership firms only).• Copy of Partnership Deed.• Authorized signatories list with specimen signatures.• Photograph, POI, POA, PAN of Partners.• Shareholding pattern.
Trust	<ul style="list-style-type: none">• Copy of Balance Sheet for the last to financial years (to be submitted every year).• Certificate of Registration (for registered Trusts only).• Copy of Trust Deed.• List of Trustees certified by Managing Trustees/ CA• Photograph, POI, POA, PAN of Trutees.
HUF	<ul style="list-style-type: none">• PAN of HUF.• Deed of Declaration of HUF or List of Co-Parceners.• Bank Passbook / Bank statement in the name of HUF.• Photograph, POI, POA, PAN of KARTA.
Banks/Institution al Investors	<ul style="list-style-type: none">• Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years• Authorized signatories list with specimen signatures.
Unincorporated association or a body of individuals	<ul style="list-style-type: none">• Proof of existence or Constitution document.• Resolution of Managing Body and power od Attorney granted to transact business on its behalf.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none">• Copy of SEBI registration certificate.• Authorised signatories list with specimen signatures.
Army/ Government Bodies	<ul style="list-style-type: none">• Self certification on letterhead.• Authorized signatories list with specimen signatures.

Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Society Registration Act. • List of managing committee members. • Committee Resolution for persons authorized to act as authorised signatories with specimen sig-natures. • True copy of society rules and by-laws certified by Chairman/Secretary.
FPI Category I	<ul style="list-style-type: none"> • FPI Certificate • Constitution Documents • Copy of Board Resolution (optional) • Shareholding pattern and Ultimate Beneficiary Owners List (UBO) • Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Society Registration Act. • List of managing committee members. • Committee Resolution for persons authorized to act as authorised signatories with specimen sig-natures. • True copy of society rules and by-laws certified by Chairman/Secretary.

CENTRAL KYC REGISTRY I Instructions / Check list/ Guidelines for filling Legal Entity/ Other than Individuals KYC Application Form

A. Clarification / Guidelines for filing Entity Details section

- Entity Constitution Type
 - A -Sole Proprietorship
 - B -Partnership Firm
 - C-HUF
 - D - Private Limited Company
 - E -Public Limited Company
 - F -Society
 - G -Association of Persons (AOP) / Body of Individuals (BOI)
 - H -Trust
 - I - Liquidator
 - J -Limited Liability Partnership
 - K -Artificial Liability Partnership
 - L -Public Sector Banks
 - M -Central/State Government Department or Agency
 - N -Section 8 Companies (Companies Act, 2013)
 - O -Artificial Jurisdical Person
 - P - International Organisation or Agency /Foreign Embassy or Consular Office etc
 - Q - Not Categorized
 - R -Others
 - S -Foreign Portfolio Investors
- In case of ccmpanies and partnerships, PAN of the entity is mandatory. In case of other entitites. FORM 60 may be obtained if PAN is not available.

B. Clarification / Guidelines for filling 'Proof of Identity[Pol]' section

- Activity Proof - 1 and Activity Proof - 2 are applicable for accounts in case of proprietorship firms. Please refer to relevant instructions issued by the Reserve Bank of India in this regard.
- Please refer to the relevant instructions issued by the regulator regarding applicable documents for the legal entity.
- Certified copy of document or equivalent e-document or OVD obtained through Digital KYC process to be submitted.
- 'Equivalent e-document' means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
- 'Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005.
- KYC requirements for Foreign Portfolio Investors (FPIs) will be as specified by the concerned regulator from time to time.

C. Clarification / Guidelines for filling 'Proof of Address [PoA]' section

- State/ U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- Certified copy of document or equivalent e-document to be submitted.

D. Clarification / Guidelines for filling ‘Contact Details’ section

1. Please mention two-digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
2. Do not add 0 in the beginning of Mobile number.

E. Clarification / Guidelines for filling ‘Related Person Details’ section

1. • Personal Details
 - The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
2. Proof of Address [PoA]
 - PoA to be submitted only if the submitted Pol does not have an address or address as per Pol is invalid or not in force.
 - State I.U.T Code and Pin/ Post Code will not be mandatory for Overseas addresses.
 - In case of deemed PoA such as utility bill, the document need not be uploaded on CKYCR
 - REs may use the Self Declaration check box where Aadhaar authentication has been carried out successfully for a client and client wants to provide a current address, different from the address as per the identity information available in the Central Identities Data Repository.
3. If KYC number of Related Person is available, no other details except ‘Person Type’ and ‘Name of the Related Person’ are required.
4. Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.

F. Provision for capturing signature of multiple authorised persons is to be made by the RE.**List of two digit state / U.T codes as per Indian Motor Vehicle Act, 1988**

State/U.T	Code	State / U.T	Code	State / U.T	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

List of ISO 3166 two digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Åland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GO	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	San Marino (Dutch part)	SE
Aruba	AW	France	FR	Marshall Island	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Island	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Mayotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Mongo	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SI
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NZ	Taiwan province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire (Cote d'Ivoire)	CI	Korea, Democratic People's Republic of	KP	Reunion (Reunion)	RE	Virgin Island, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara	EH
Curacao (Curacao)	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Laos People's Democratic Republic	LA	Saint Barthélemy / Saint-Barthélemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French Part)	MF		

Annexure for Photographs and identity details (or on the letter head of the Non Individual Entity) Individual KYC Application Form

Addendum to KYC Application Form Part 1

Client Name

Mention Category: Karta, Partner, Wholetime Director, Individual Promoter, Trustee, Authorised Signatory below:

The below table includes details of all Whole time Directors and individual & non individual shareholder holding more than 25% in the share capital of the company.

Photograph	Photograph Please affix your recent passport size photograph and sign across it	Photograph Please affix your recent passport size photograph and sign across it	Photograph Please affix your recent passport size photograph and sign across it
Modification Type	<input type="checkbox"/> Addition of Related person (RP) <input type="checkbox"/> Deletion of RP <input type="checkbox"/> Update RP Details	<input type="checkbox"/> Addition of Related person (RP) <input type="checkbox"/> Deletion of RP <input type="checkbox"/> Update RP Details	<input type="checkbox"/> Addition of Related person (RP) <input type="checkbox"/> Deletion of RP <input type="checkbox"/> Update RP Details
CKYC No. (if available)			
Related PersonType *			
Whether Related Person Is Authorised signatory	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Name			
Maiden Name			
Father/Spouse Name			
Mother Name			
DOB (DDMMYY)			
Gender			
Nationality	<input type="checkbox"/> India <input type="checkbox"/> Others_____	<input type="checkbox"/> India <input type="checkbox"/> Others_____	<input type="checkbox"/> India <input type="checkbox"/> Others_____
DIN			
PAN			
Residential address			
City/Pincode			
State			
Country			

Name of signatory	E-signature of Signatory	Wet signature of Signatory

Date _____

*Note: related person type would Director/Promoter/Karta/Trustee/Partner/Court appointment official /Proprietor/Beneficiary/Authorised signatory / beneficial owner Power of attorney/ Settlor.

Additional Details for required for CKYC form

Permanent Address			
City/Pincode/ State/ Country			

Proof of Identity & Address (Tick Any One)	<input type="checkbox"/> Aadhaar Card xxxx xxxx _ _ _ _	<input type="checkbox"/> Aadhaar Card xxxx xxxx _ _ _ _	<input type="checkbox"/> Aadhaar Card xxxx xxxx _ _ _ _
	<input type="checkbox"/> Passport Number _____ (Expiry Date) _____	<input type="checkbox"/> Passport Number _____ (Expiry Date) _____	<input type="checkbox"/> Passport Number _____ (Expiry Date) _____
	<input type="checkbox"/> Voter ID Card	<input type="checkbox"/> Voter ID Card _____	<input type="checkbox"/> Voter ID Card _____
	<input type="checkbox"/> Driving License (Expiry Date) _____	<input type="checkbox"/> Driving License (Expiry Date) _____	<input type="checkbox"/> Driving License (Expiry Date) _____
	<input type="checkbox"/> NREGA Job Card _____	<input type="checkbox"/> NREGA Job Card _____	<input type="checkbox"/> NREGA Job Card _____
	<input type="checkbox"/> NPR _____	<input type="checkbox"/> NPR _____	<input type="checkbox"/> NPR _____
	<input type="checkbox"/> Others (any document notified by Central Government) _____	<input type="checkbox"/> Others (any document notified by Central Government) _____	<input type="checkbox"/> Others (any document notified by Central Government) _____
	Identification Number _____	Identification Number _____	Identification Number _____

Current / Correspondence address proof submitted			
City/Pincode			
State			
Country			

Current / Correspondence address proof submitted (Tick Any One)	<input type="checkbox"/> Aadhaar Card xxxx xxxx _ _ _ _	<input type="checkbox"/> Aadhaar Card xxxx xxxx _ _ _ _	<input type="checkbox"/> Aadhaar Card xxxx xxxx _ _ _ _
	<input type="checkbox"/> Passport Number _____ (Expiry Date) _____	<input type="checkbox"/> Passport Number _____ (Expiry Date) _____	<input type="checkbox"/> Passport Number _____ (Expiry Date) _____
	<input type="checkbox"/> Voter ID Card	<input type="checkbox"/> Voter ID Card _____	<input type="checkbox"/> Voter ID Card _____
	<input type="checkbox"/> Driving License (Expiry Date) _____	<input type="checkbox"/> Driving License (Expiry Date) _____	<input type="checkbox"/> Driving License (Expiry Date) _____
	<input type="checkbox"/> NREGA Job Card _____	<input type="checkbox"/> NREGA Job Card _____	<input type="checkbox"/> NREGA Job Card _____
	<input type="checkbox"/> NPR _____	<input type="checkbox"/> NPR _____	<input type="checkbox"/> NPR _____
	<input type="checkbox"/> Others (any document notified by Central Government) _____	<input type="checkbox"/> Others (any document notified by Central Government) _____	<input type="checkbox"/> Others (any document notified by Central Government) _____
	Identification Number _____	Identification Number _____	Identification Number _____

Contact details

Mobile*			
Email*			

Name of signatory	E-signature of Signatory	Wet signature of Signatory

Client Name

Mention Category: Karta, Partner, Wholetime Director, Individual Promoter, Trustee, Authorised Signatory below:

The below table includes details of all Whole time Directors and individual & non individual shareholder holding more than 25% in the share capital of the company.

Photograph	Photograph Please affix your recent passport size photograph and sign across it	Photograph Please affix your recent passport size photograph and sign across it	Photograph Please affix your recent passport size photograph and sign across it
Modification Type	<input type="checkbox"/> Addition of Related person (RP) <input type="checkbox"/> Deletion of RP <input type="checkbox"/> Update RP Details	<input type="checkbox"/> Addition of Related person (RP) <input type="checkbox"/> Deletion of RP <input type="checkbox"/> Update RP Details	<input type="checkbox"/> Addition of Related person (RP) <input type="checkbox"/> Deletion of RP <input type="checkbox"/> Update RP Details
CKYC No. (if available)			
Related Person Type *			
Whether Related Person Is Authorised signatory	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
Name			
Maiden Name			
Father/Spouse Name			
Mother Name			
DOB (DDMMYY)			
Gender			
Nationality	<input type="checkbox"/> India <input type="checkbox"/> Others_____	<input type="checkbox"/> India <input type="checkbox"/> Others_____	<input type="checkbox"/> India <input type="checkbox"/> Others_____
DIN			
PAN			
Residential address			
City/Pincode			
State			
Country			

Name of signatory	E-signature of Signatory	Wet signature of Signatory

Date_____

*Note: related person type would Director/Promoter/Karta/Trustee/Partner/Court appointment official /Proprietor/ Beneficiary/Authorised signatory / beneficial owner Power of attorney/ Settlor.

Additional Details for required for CKYC form

Permanent Address			
City/Pincode/ State/ Country			

Proof of Identity & Address (Tick Any One)	<input type="checkbox"/> Aadhaar Card xxxx xxxx _ _ _ _	<input type="checkbox"/> Aadhaar Card xxxx xxxx _ _ _ _	<input type="checkbox"/> Aadhaar Card xxxx xxxx _ _ _ _
	<input type="checkbox"/> Passport Number _____ (Expiry Date) _____	<input type="checkbox"/> Passport Number _____ (Expiry Date) _____	<input type="checkbox"/> Passport Number _____ (Expiry Date) _____
	<input type="checkbox"/> Voter ID Card	<input type="checkbox"/> Voter ID Card _____	<input type="checkbox"/> Voter ID Card _____
	<input type="checkbox"/> Driving License (Expiry Date) _____	<input type="checkbox"/> Driving License (Expiry Date) _____	<input type="checkbox"/> Driving License (Expiry Date) _____
	<input type="checkbox"/> NREGA Job Card _____	<input type="checkbox"/> NREGA Job Card _____	<input type="checkbox"/> NREGA Job Card _____
	<input type="checkbox"/> NPR _____	<input type="checkbox"/> NPR _____	<input type="checkbox"/> NPR _____
	<input type="checkbox"/> Others (any document notified by Central Government) _____	<input type="checkbox"/> Others (any document notified by Central Government) _____	<input type="checkbox"/> Others (any document notified by Central Government) _____
	Identification Number _____	Identification Number _____	Identification Number _____

Current / Correspondence address proof submitted			
City/Pincode			
State			
Country			

Current / Correspondence address proof submitted (Tick Any One)	<input type="checkbox"/> Aadhaar Card xxxx xxxx _ _ _ _	<input type="checkbox"/> Aadhaar Card xxxx xxxx _ _ _ _	<input type="checkbox"/> Aadhaar Card xxxx xxxx _ _ _ _
	<input type="checkbox"/> Passport Number _____ (Expiry Date) _____	<input type="checkbox"/> Passport Number _____ (Expiry Date) _____	<input type="checkbox"/> Passport Number _____ (Expiry Date) _____
	<input type="checkbox"/> Voter ID Card	<input type="checkbox"/> Voter ID Card _____	<input type="checkbox"/> Voter ID Card _____
	<input type="checkbox"/> Driving License (Expiry Date) _____	<input type="checkbox"/> Driving License (Expiry Date) _____	<input type="checkbox"/> Driving License (Expiry Date) _____
	<input type="checkbox"/> NREGA Job Card _____	<input type="checkbox"/> NREGA Job Card _____	<input type="checkbox"/> NREGA Job Card _____
	<input type="checkbox"/> NPR _____	<input type="checkbox"/> NPR _____	<input type="checkbox"/> NPR _____
	<input type="checkbox"/> Others (any document notified by Central Government) _____	<input type="checkbox"/> Others (any document notified by Central Government) _____	<input type="checkbox"/> Others (any document notified by Central Government) _____
	Identification Number _____	Identification Number _____	Identification Number _____

Contact details

Mobile*			
Email*			

Name of signatory	E-signature of Signatory	Wet signature of Signatory

Barclays offers wealth and investment products and services to its clients through Barclays Bank PLC registered in England and operates in India through its subsidiaries, including Barclays Securities (India) Private Limited (BSIPL). BSIPL is a company incorporated under the Companies Act, 1956 having CIN U67120MH2006PTC161063. BSIPL is registered and regulated by the Securities and Exchange Board of India (SEBI) as a Portfolio Manager INP000002585, Stock Broker INZ000269539 (member of NSE and BSE), Research Analyst: INH000001519; Depository Participant: IN-DP-478-2020 (DP of NSDL), Investment Adviser: INA000000391. BSIPL is also registered with BSE Administration and Supervision Limited (BASL): BASL1768 and as a Mutual Fund Distributor having AMFI ARN No. 53308. The registered office of BSIPL is at 208, Ceejay House, Shivsagar Estate, Dr. A. Besant Road, Worli, Mumbai – 400 018, India. Telephone No: +91 22 67196363, Fax number: +91 22 67196399 Chief Compliance Officer contact details: Name: Mr. Vaibhav Purohit, Contact number: +91 22 61752271, E-mail: bsiplcompliance@barcap.com Investor Grievance E-mail: BSIPL.concerns@barcap.com Website: www.barclays.in/bsipl.

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