

# Disclosure on Commissions receivable by Barclays Securities India Private Limited in respect of Mutual Funds.

Barclays Securities (India) Private Limited is an AMFI-Registered Mutual Fund Distributor with ARN 53308

## Bond Fund of Fund/Index

S. No.	Fund Name	Commission Applicable For**	Clawback Period	Upfront Commission^	First Year Trail (% p.a.)	Second Year Trail*(% p.a.)
1	Aditya Birla Sun Life Nifty SDL Plus PSU Bond Sep 2026 60:40 Index Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.15%	0.15%
2	Aditya Birla Sun Life Nifty SDL Apr 2027 Index Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.15%	0.15%
3	ABSL CRISIL SDL Plus AAA PSU Apr 2025 60:40 Index Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.15%	0.15%
4	ABSL CRISIL SDL Plus AAA PSU Apr 2027 60:40 Index Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.15%	0.15%
5	Aditya Birla Sun Life Financial Planning FoF – Aggressive	1 Apr 22 Onwards	Not Applicable	Nil	0.55%	0.55%
6	Aditya Birla Sun Life Financial Planning FoF – Prudent	1 Apr 22 Onwards	Not Applicable	Nil	0.45%	0.45%
7	Aditya Birla Sun Life Financial Planning FoF – Conservative	1 May 22 Onwards	Not Applicable	Nil	0.35%	0.35%
8	Aditya Birla Sun Life Asset Allocator FoF	1 May 22 Onwards	Not Applicable	Nil	1.20%	1.20%
9	Aditya Birla Sun Life Active Debt Multi Manager	1 Apr 22 Onwards	Not Applicable	Nil	0.35%	0.35%
10	Axis All Seasons Debt Fund of Funds	1 Apr 22 Onwards	Not Applicable	Nil	0.25%	0.25%
11	Axis AAA Bond Plus SDL ETF – 2026 Maturity Fund of Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.14%	0.14%
12	Axis CPSE Plus SDL 2025 7030 Debt Index Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.13%	0.13%
13	AXIS CRISIL SDL 2027 DEBT INDEX FUND	1 Apr 22 Onwards	Not Applicable	Nil	0.13%	0.13%



# Disclosure on Commissions receivable by Barclays Securities India Private Limited in respect of Mutual Funds.

Barclays Securities (India) Private Limited is an AMFI-Registered Mutual Fund Distributor with ARN 53308

## Bond Fund of Fund/Index

14	Axis Equity ETFs FoF	1 Apr 22 Onwards	Not Applicable	Nil	0.13%	0.13%
15	BHARAT Bond FOF - April 2023	1 Apr 22 Onwards	Not Applicable	Commission Data not received from Fund house	Commission Data not received from Fund house	Commission Data not received from Fund house
16	BHARAT Bond FOF - April 2030	1 Apr 22 Onwards	Not Applicable	Commission Data not received from Fund house	Commission Data not received from Fund house	Commission Data not received from Fund house
17	Baroda BNP Paribas Funds Aqua Fund of Fund	1 Apr 22 Onwards	Not Applicable	Nil	1.00%	1.00%
18	Edelweiss CRISIL PSU Plus SDL 50:50 Oct 2025 Index Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.15%	0.15%
19	Edelweiss Nifty PSU Bond Plus SDL 2026 – Index Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.15%	0.15%
20	Edelweiss Nifty PSU Bond Plus SDL 2027 – Index Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.15%	0.15%
21	Franklin India Dynamic Asset Allocation Fund Of Funds (FIDAAF)	1 Apr 22 Onwards	Not Applicable	Nil	0.90%	0.90%
22	FRANKLIN INDIA LIFE STAGE FUND OF FUNDS - 20S PLAN (FILSF20)	1 Apr 22 Onwards	Not Applicable	Nil	0.80%	0.80%
23	FRANKLIN INDIA LIFE STAGE FUND OF FUNDS - 30S PLAN (FILSF30)	1 Apr 22 Onwards	Not Applicable	Nil	0.80%	0.80%
24	FRANKLIN INDIA LIFE STAGE FUND OF FUNDS - 40S PLAN (FILSF40)	1 Apr 22 Onwards	Not Applicable	Nil	0.70%	0.70%
25	FRANKLIN INDIA LIFE STAGE FUND OF FUNDS - 50S PLUS PLAN (FILSF50)	1 Apr 22 Onwards	Not Applicable	Nil	0.65%	0.65%
26	FRANKLIN INDIA LIFE STAGE FUND OF FUNDS -	1 Apr 22 Onwards	Not Applicable	Nil	0.50%	0.50%



# Disclosure on Commissions receivable by Barclays Securities India Private Limited in respect of Mutual Funds.

Barclays Securities (India) Private Limited is an AMFI-Registered Mutual Fund Distributor with ARN 53308

## Bond Fund of Fund/Index

	50S PLUS FLOATING RATE PLAN (FILSF50P)					
27	HDFC Asset Allocator Fund of Funds	1 Apr 22 Onwards	Not Applicable	Nil	1.10%	1.10%
28	HDFC Developed World Indexes Fund of Funds	1 Apr 22 Onwards	Not Applicable	Nil	0.40%	0.40%
29	HDFC Dynamic PE Ratio Fund of Funds	1 Apr 22 Onwards	Not Applicable	Nil	0.90%	0.90%
30	HSBC Crisil IBX 50:50 Gilt plus SDL Apr 2028 Index Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.10%	0.10%
31	HSBC Managed Solutions India Growth Fund(HMSGF)	1 Apr 22 Onwards	Not Applicable	Nil	0.10%	0.10%
32	HSBC Managed Solutions India - Moderate	1 Apr 22 Onwards	Not Applicable	Nil	0.10%	0.10%
33	HSBC Managed Solutions India Conservative Fund(HMSCF)	1 Apr 22 Onwards	Not Applicable	Nil	0.10%	0.10%
34	ICICI Prudential Alpha Low Vol 30 ETF	1 Apr 22 Onwards	Not Applicable	Nil	0.40%	0.40%
35	ICICI Prudential India Equity (FOF)	1 May 22 Onwards	Not Applicable	Nil	0.28%	0.28%
36	ICICI Prudential Nifty Low Vol 30 ETF (FOF)	1 Apr 22 Onwards	Not Applicable	Nil	0.30%	0.30%
37	ICICI Prudential Passive Strategy Fund (FOF)	1 Apr 22 Onwards	Not Applicable	Nil	0.17%	0.17%
38	ICICI Prudential PSU Bond plus SDL 40:60 Index Fund - Sep 2027	1 Apr 22 Onwards	Not Applicable	Nil	0.20%	0.20%
39	ICICI Prudential Nifty SDL Sep 2027 Index Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.10%	0.10%
40	ICICI Prudential S&P BSE 500 ETF FOF	1 Apr 22 Onwards	Not Applicable	Nil	0.40%	0.40%
41	ICICI Prudential Thematic Advantage Fund (FOF)	1 Apr 22 Onwards	Not Applicable	Nil	0.30%	0.30%
42	ICICI Prudential Asset Allocator Fund (FOF)	1 Apr 22 Onwards	Not Applicable	Nil	0.69%	0.69%



# Disclosure on Commissions receivable by Barclays Securities India Private Limited in respect of Mutual Funds.

Barclays Securities (India) Private Limited is an AMFI-Registered Mutual Fund Distributor with ARN 53308

## Bond Fund of Fund/Index

43	ICICI Prudential Income Optimizer Fund (FOF)	1 May 22 Onwards	Not Applicable	Nil	0.05%	0.05%
44	ICICI Prudential Debt Management Fund (FOF)	1 Apr 22 Onwards	Not Applicable	Nil	0.14%	0.14%
45	ICICI Prudential Passive Multi-Asset Fund of Funds	1 Apr 22 Onwards	Not Applicable	Nil	0.10%	0.10%
46	IDFC All Seasons Bond Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.25%	0.25%
47	IDFC Asset Allocation Fund - FOF -Moderate Plan	1 May 22 Onwards	Not Applicable	Nil	0.50%	0.50%
48	IDFC Asset Allocation Fund - FOF - Aggressive Plan	1 Apr 22 Onwards	Not Applicable	Nil	0.65%	0.65%
49	IDFC Asset Allocation Fund - FOF -Conservative Plan	1 Apr 22 Onwards	Not Applicable	Nil	0.35%	0.35%
50	IDFC Gilt 2027 IndexFund	1 Apr 22 Onwards	Not Applicable	Nil	0.20%	0.20%
51	IDFC Gilt 2028 IndexFund	1 Apr 22 Onwards	Not Applicable	Nil	0.20%	0.20%
52	Kotak Nifty SDL Apr 2032 Top 12 Equal Weight Index Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.15%	0.15%
53	Kotak Nifty SDL Apr 2027 Top 12 Equal Weight Index Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.15%	0.15%
54	Mirae Asset ESG Sector Leaders Fund of Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.40%	0.40%
55	Mirae Asset Equity Allocator Fund of Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.05%	0.05%
56	Mirae Asset Nifty SDL Jun 2027 Index Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.15%	0.15%
57	Mirae Asset Nifty India Manufacturing ETF Fund of	1 Apr 22 Onwards	Not Applicable	Nil	0.40%	0.40%
58	Motilal Oswal 5 Year G – Sec Fund of Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.07%	0.07%
59	Motilal Oswal Asset allocation Passive Funds of Fund – Conservative	1 Apr 22 Onwards	Not Applicable	Nil	0.60%	0.60%



# Disclosure on Commissions receivable by Barclays Securities India Private Limited in respect of Mutual Funds.

Barclays Securities (India) Private Limited is an AMFI-Registered Mutual Fund Distributor with ARN 53308

## Bond Fund of Fund/Index

60	Motilal Oswal Asset allocation Passive Funds of Fund – Aggressive	1 Apr 22 Onwards	Not Applicable	Nil	0.60%	0.60%
61	NIPPON INDIA ASSET ALLOCATOR FoF	1 Apr 22 Onwards	Not Applicable	Nil	0.50%	0.50%
62	NIPPON INDIA PASSIVE FLEXICAP FoF	1 Apr 22 Onwards	Not Applicable	Nil	0.25%	0.25%
63	NIPPON INDIA NIFTY AAA CPSE BOND PLUS SDL - APR27	1 Apr 22 Onwards	Not Applicable	Nil	0.15%	0.15%
64	PGIM India Global Real Estate Securities Fund of Fund	1 Apr 22 Onwards	Not Applicable	Nil	0.85%	0.85%
65	SBI International Access - US Equity FoF	1 Apr 22 Onwards	Not Applicable	Nil	0.50%	0.50%

^ -Upfront commissions are subject to claw back if the money invested is redeemed / switched out within the Clawback Period as indicated in the column above. Accordingly, any upfront commissions paid will be proportionately clawed back as below:  
(Total upfront commission \* No. of days left for exit load period)/Exit Load period

\* Standard industry practice is to provide trail fee information for first 2 years only, hence we are accordingly providing this information.

\*\* The commission for the subsequent period(s) is subject to change and can be communicated to you at your request subject to BSIPL being notified of the same, by the respective Asset Management Companies.

### DISCLAIMER-IMPORTANT INFORMATION

This document has been prepared by Barclays Securities (India) Private Limited (BSIPL) and is provided to you for information only.

This document is made available to you because Barclays believes that you have sufficient knowledge, experience and/or professional advice to understand and make your own independent evaluation of the risks and rewards of the investments and/or other matters discussed in this document and to make your own independent decision whether to implement the same. This document does not constitute a prospectus, offer, invitation or solicitation and is not intended to provide the sole basis for any evaluation of the instruments or any other matters discussed in this document.

The view expressed is generic and not a personal recommendation. It does not consider your risk tolerance, financial situation, knowledge and experience. Please discuss with your advisor if you seek advice on whether the investment options are appropriate for you. The investments discussed in this document may not be suitable for all investors. Any transaction undertaken through Barclays consequent to this communication without assistance of your Barclays advisor would be on execution-only basis.

Investments are subject to market risk. There can be no assurance or guarantee that any investment will achieve any particular return. Unless expressly stated, products are not guaranteed by Barclays Bank PLC or their affiliates including BSIPL or any government entity. Past performance is not necessarily an indicator of the future performance. Actual results may vary significantly from the forward-looking statements contained in this presentation due to various risks and uncertainties, including the effect of economic and political conditions in India and outside India, volatility in interest rates and the securities market, new regulations and Government policies that may impact the businesses of Barclays as well as its ability to implement the strategy. The information contained in this document has been obtained from sources that Barclays believes are reliable but Barclays do not represent or warrant that it is accurate or complete, and such information may be incomplete or condensed. Neither Barclays, nor any affiliate, nor any of their respective officers, directors, partners, or employees accepts any liability whatsoever for any direct or consequential loss arising from any use of or reliance upon this document or its contents, or for any omission.

Please refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI)



# Disclosure on Commissions receivable by Barclays Securities India Private Limited in respect of Mutual Funds.

Barclays Securities (India) Private Limited is an AMFI-Registered Mutual Fund Distributor with ARN 53308

## Bond Fund of Fund/Index

or key information memorandum and the specific risk factors before investing, if the document deals with investments in units of mutual funds. Please note that to enhance transparency of information provided to investors, SEBI has issued a Code of Advertisement for the Asset Management companies (AMCs) in its advertisements. The same includes inter alia, details on returns, past performance, fund managers etc. To access the reports in the said format, please visit the website of the respective AMCs.

The views in this document are generally those of Barclays and are subject to change without notice, and Barclays has no obligation to update its views or the information in this document. Barclays or its affiliates may have acted upon or have made use of material in this document prior to its publication. Barclays may receive the benefit of generic commission, fees, from product issuers, providers and other transaction counterparties, as may be permitted under the extant regulations and Barclays reserves the right to retain any such amounts received as may be permissible under the extant regulations and after making necessary disclosures to clients. For the applicable commission rates for the transaction, please contact your Barclays Service Manager. In respect of any business where you have been introduced to Barclays by a third party, Barclays may have made a payment to the introducer. Any examples, illustrations or case studies and resulting solution(s) in relation to the case studies are provided for illustrative purposes only, may be hypothetical and may not relate to your particular circumstances. Barclays does not provide legal or tax advice and should you deem it necessary to obtain such advice, you should approach independent professional tax or legal advisors to obtain the same. This document is confidential and may not be reproduced or disclosed (in whole or in part) to any other person without our prior written permission. The manner of distribution of this document and the availability of the products and services may be restricted by law or regulation in certain countries and persons who come into possession of this document are required to inform themselves of and observe such restrictions. This document is not directed to, nor intended for distribution or use by, any person or entity in any jurisdiction or country where the publication or availability of this document or such distribution or use would be contrary to local law or regulation, including for the avoidance of doubt the US. The contents of this document have not been reviewed by any regulatory authority in India or in any other jurisdiction. If you have any doubt about any of the contents of this document, you should obtain independent professional advice.

Barclays offers wealth and investment products and services to its clients through Barclays Bank PLC registered in England and operates in India through its subsidiaries, including Barclays Securities (India) Private Limited (BSIPL). BSIPL is a company incorporated under the Companies Act, 1956 having CIN U67120MH2006PTC161063. BSIPL is registered and regulated by the Securities and Exchange Board of India (SEBI) as a Portfolio Manager INP000002585, Stock Broker INZ000269539 (member of NSE and BSE), Research Analyst: INH000001519; Depository Participant: IN-DP-478-2020 (DP of NSDL), Investment Adviser: INA000000391. BSIPL is also registered with BSE Administration and Supervision Limited (BASL): BASL1768 and as a Mutual Fund Distributor having AMFI ARN No. 53308. The registered office of BSIPL is at 208, Ceejay House, Shivsagar Estate, Dr. A. Besant Road, Worli, Mumbai – 400 018, India. Telephone No: +91 22 67196363 Fax number: +91 22 67196399 Compliance Officer contact details: Name: Mr. Yogesh Paskanti, Contact number: +91 22 61754000, E-mail: [bsiplcompliance@barcap.com](mailto:bsiplcompliance@barcap.com) Investor Grievance E-mail: [BSIPL.concerns@barcap.com](mailto:BSIPL.concerns@barcap.com) Website: [www.barclays.in/bsipl](http://www.barclays.in/bsipl)

All rights reserved. © Barclays Bank PLC 2016

