

**From:** Barclays India Distribution

**Sent:** Monday, November 28, 2022 3:33 PM

**Subject:** Nomination (or Opt-out declaration) for Mutual Fund Unit Holders as per recent SEBI Circulars

Dear Valued Client,

This is to bring to your attention that Securities and Exchange Board of India (SEBI) vide Circular Numbers SEBI/HO/IMD/IMD-II DOF3/P/CIR/2022/82 dated June 15, 2022 and SEBI/HO/IMD/IMD-I DOF1/P/CIR/2022/105 July 29, 2022 mandated submission of nomination details or declaration for opting out of nomination for investors subscribing to mutual fund units on or after 1st October, 2022.

**We find that as per our records, you have not provided any nomination in the past for your investment account maintained with us** and hence we have not been able to relay the same to mutual fund houses for investments executed for you under the Power of Attorney ("POA") provided to us. We request you to note that as per the above mentioned SEBI Circular, all the existing individual holders holding mutual fund units either solely or jointly, are required to provide nomination details or declaration for opting out of nomination on or before 31<sup>st</sup> March 2023, failing which the mutual fund folios shall be frozen for debits.

**We urge you to appoint nominee(s) for your mutual fund investments** and in order to do so on your behalf under POA, we require the details in the new nomination form as per attached format at the earliest, signed by all the account holders. We request you to also note that while you mention the % allocation to nominees, please ensure that it adds up to 100 %. The new nomination will also be applied on existing active mutual fund folios where accepted by the Registrar and Transfer Agents (RTAs).

**In case you still do not choose to nominate anyone, you are required to execute the opt-out declaration, signed by all the account holders.**

The nomination form or opt-out declaration can be executed either digitally through Aadhaar e-sign or physically under wet signatures. You can contact your Relationship Manager or Service Manager who will be happy to assist you with any queries in relation to the same and to coordinate completion and execution of the revised nomination form if required by you.



MF Nomination  
form.pdf



Nomination Opt  
Out Form.pdf

Attachments:

- 1) Nomination form
- 2) Opt-out declaration form

Yours Sincerely,  
Barclays Private Clients  
For Barclays Securities (India) Private Limited

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**From:** Barclays India Distribution

**Sent:** Monday, November 28, 2022 12:48 PM

**Subject:** Nomination (or Opt-out declaration) for Mutual Fund Unit Holders as per recent SEBI Circulars

Dear Valued Client,

This is to bring to your attention that Securities and Exchange Board of India (SEBI) vide Circular Numbers SEBI/HO/IMD/IMD-II DOF3/P/CIR/2022/82 dated June 15, 2022 and SEBI/HO/IMD/IMD-I DOF1/P/CIR/2022/105 July 29, 2022 has mandated submission of nomination details or declaration for opting out of nomination for investors subscribing to mutual fund units on or after 1st October, 2022.

We find that as per our records nomination details provided by you include a sole nominee in respect of your investment account maintained with us and we include the same on applications for mutual funds executed on your behalf by us under the power of attorney (PoA) provided by you.

In case you want to change or add more nominees in respect of your investment account, we request you to provide us the details of such nomination in the attached format at the earliest, signed by all the account holders. Kindly ensure that the % allocation to nominees adds up to 100 %. The new nomination will also be applied on existing active mutual fund folios where accepted by the Registrar and Transfer Agents (RTAs).

The nomination form can be signed either digitally through Aadhaar e-sign or physically under wet signatures. You can contact your Relationship Manager or Service Manager who will be happy to assist you with any queries in relation to the same and to coordinate completion and execution of the revised nomination form if required by you.

Attachment:

Nomination form



MF Nomination  
form.pdf

Yours Sincerely,

Barclays Private Clients

For Barclays Securities (India) Private Limited

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**From:** Barclays India Distribution

**Sent:** Monday, November 28, 2022 1:15 PM

**Subject:** Nomination (or Opt-out declaration) for Mutual Fund Unit Holders as per recent SEBI Circulars

Dear Valued Client,

This is to bring to your attention that Securities and Exchange Board of India (SEBI) vide Circular Numbers SEBI/HO/IMD/IMD-II DOF3/P/CIR/2022/82 dated June 15, 2022 and SEBI/HO/IMD/IMD-I DOF1/P/CIR/2022/105 July 29, 2022 mandated submission of nomination details or declaration for opting out of nomination for investors subscribing to mutual fund units on or after 1st October, 2022.

We request you to note that as per the aforementioned SEBI Circular, all the existing individual holders holding mutual fund units either solely or jointly, are required to provide nomination details or declaration for opting out of nomination on or before 31<sup>st</sup> March 2023, failing which the mutual fund folios shall be frozen for debits.

**We urge you to appoint nominee(s) for your mutual fund investments if not done already** by completing the forms for the relevant mutual fund house / AMC.

Alternatively, you may fill the forms for CAMs and/or Karvy, as applicable, and submit it to AMCs / RTA.

Given below are the links to the said forms:

- 1) [https://www.camsonline.com/Investors/Service-requests/Nomination/Nomination\\_Opt-in\\_or\\_Opt-out](https://www.camsonline.com/Investors/Service-requests/Nomination/Nomination_Opt-in_or_Opt-out)
- 2) <https://mfs.kfintech.com/mfs/Generalpages/downloads/Fresh%20%20Change%20Cancellation%20of%20Nominatin.docx.pdf>
- 3) <https://mfs.kfintech.com/mfs/Generalpages/downloads/Nomination%20Opt%20Out.pdf>

We request you to also note that while you mention the % allocation to nominees, please ensure that it adds up to 100 %.

**In case you still do not choose to nominate anyone, you are still required to execute the opt-out declaration, signed by all the account holders.**

The nomination form or opt-out declaration can be executed either digitally through Aadhaar e-sign or physically under wet signatures. You can contact your Relationship Manager or Service Manager who will be happy to assist you with any queries in relation to the same and to coordinate completion and execution of the forms as required by you.

Yours Sincerely,  
Barclays Private Clients  
For Barclays Securities (India) Private Limited