

**Form No. MGT-9
EXTRACT OF ANNUAL RETURN**

as on the financial year ended on March 31, 2019

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I REGISTRATION AND OTHER DETAILS:

i)	CIN	U93000MH2008PTC188438
ii)	Registration Date	November 24, 2008
iii)	Name of the Company	Barclays Wealth Trustees (India) Private Limited
iv)	Category / Sub-Category of the Company	Company Limited by Shares / Indian Non-Government Company
v)	Address of the Registered office and contact details	208, Ceejay House, Shivsagar Estate, Dr A Beasant Road, Worli, Mumbai 400018, Maharashtra Tel.: +91 22 67196363 Email: namita.x.grover@barclays.com
vi)	Whether listed company (Yes/No)	No
vii)	Name, Address and Contact details of Registrar and Transfer Agent, if any	Not Applicable

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products / services	NIC Code of the Product / service	% to total Turnover of the Company
1	Fiduciary and trusteeship services	66190	13.79%
2	Management Support Services - Human resources provision	78300	78.34%

III PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES*

Sl. No.	Name and Address of the Company	CIN / GLN	Holding / Subsidiary / Associate	% of shares held	Applicable Section
1	Barclays Securities (India) Private Limited 208, Ceejay House, Shivsagar Estate, Dr. A Beasant Road, Worli, Mumbai - 400018, Maharashtra	U67120MH2006PTC161063	Holding	100%	Section 2(46)

* The Company doesn't have any Subsidiary or Associate Company as defined under the Companies Act, 2013

IV SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding:

	Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% change during the year
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A.	Promoters									
(1)	Indian									
a)	Individual / HUF*	-	1	1	0.00004%	-	1	1	0.00004%	-
b)	Central Govt	-	-	-	-	-	-	-	-	-
c)	State Govt (s)	-	-	-	-	-	-	-	-	-
d)	Bodies Corp.	-	2,499,999	2,499,999	99.99996%	-	2,499,999	2,499,999	99.99996%	-
e)	Banks / FI	-	-	-	-	-	-	-	-	-
f)	Any Other	-	-	-	-	-	-	-	-	-
	Sub Total (A)(1)	-	2,500,000	2,500,000	100%	-	2,500,000	2,500,000	100%	-
(2)	Foreign									
a)	NRIs - Individuals	-	-	-	-	-	-	-	-	-
b)	Others - Individuals	-	-	-	-	-	-	-	-	-
c)	Bodies Corp.	-	-	-	-	-	-	-	-	-
d)	Banks / FI	-	-	-	-	-	-	-	-	-
e)	Any Other	-	-	-	-	-	-	-	-	-
	Sub Total (A)(2)	-	-	-	-	-	-	-	-	-
	Total Shareholding of Promoter (A) = (A)(1) + (A)(2)	-	2,500,000	2,500,000	100%	-	2,500,000	2,500,000	100%	-

* Mr. Adrish Ghosh, Director holds one equity share in the Company on behalf of Barclays Securities (India) Private Limited as its Nominee.

B.	Public Shareholding									
(1)	Institutions									
a)	Mutual Funds	-	-	-	-	-	-	-	-	-
b)	Banks/FI	-	-	-	-	-	-	-	-	-
c)	Central Govt	-	-	-	-	-	-	-	-	-
d)	State Govt (s)	-	-	-	-	-	-	-	-	-
e)	Venture Capital Funds	-	-	-	-	-	-	-	-	-
f)	Insurance Companies	-	-	-	-	-	-	-	-	-
g)	FII's	-	-	-	-	-	-	-	-	-
h)	Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i)	Others (specify)	-	-	-	-	-	-	-	-	-
	Sub Total (B)(1)	-	-	-	-	-	-	-	-	-
(2)	Non-Institutions									
a)	Bodies Corp.									
i)	Indian	-	-	-	-	-	-	-	-	-
ii)	Overseas	-	-	-	-	-	-	-	-	-
b)	Individuals									
i)	Individual shareholders holding nominal share capital up to Rs. 1 lakh	-	-	-	-	-	-	-	-	-
ii)	Individual shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	-	-	-	-	-	-
c)	Others (specify)	-	-	-	-	-	-	-	-	-
	Sub Total (B)(2)	-	-	-	-	-	-	-	-	-
	Total Public Shareholding (B)=(B)(1)+(B)(2)	-	-	-	-	-	-	-	-	-
C.	Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
	Grand Total (A+B+C)	-	2,500,000	2,500,000	100%	-	2,500,000	2,500,000	100%	-

ii) Shareholding of Promoters:

S. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total shares of the Company	%of Shares pledged / encumbered to total shares	No. of Shares	% of total shares of the Company	%of Shares pledged / encumbered to total shares	
1	Barclays Securities (India) Private Limited*	2,500,000	100%	-	2,500,000	100%	-	Nil

* Includes one equity share held by Mr. Adrish Ghosh, Director in the Company on behalf of Barclays Securities (India) Private Limited as its Nominee.

iii) Change in Promoters' Shareholding (please specify, if there is no change): No change

S. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	At the beginning of the year*	2,500,000	100%	2,500,000	100%
2	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0	0%	0	0%
3	At the end of the year*	2,500,000	100%	2,500,000	100%

* Includes one equity share held by Mr. Adrish Ghosh, Director in the Company on behalf of Barclays Securities (India) Private Limited as its Nominee.

iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs): None

S. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	At the beginning of the year	0	0%	0	0%
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc):	0	0%	0	0%
	At the end of the year	0	0%	0	0%

- v) **Shareholding of Directors and Key Managerial Personnel:** Given that the Company do not have a Key Managerial Personnel (KMP) since statutorily not required to appoint, hence the below data pertaining to KMP is not applicable.

S. No.	For Each of the Directors and KMPs	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	Mr. Adrish Ghosh, Non-Executive Director (Equity share held as Nominee of Barclays Securities (India) Private Limited)				
	At the beginning of the year (as on April 1, 2018)	1	0.00004%	1	0.00004%
	Increase during the year	0	0%	1	0.00004%
	Decrease during the year	0	0%	1	0.00004%
	At the end of the year (as on March 31, 2019)	1	0.00004%	1	0.00004%

V INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

S. No.	Particulars	Secured Loans excluding Deposits	Unsecured Loans	Deposits	Total Indebtedness
	Indebted at the beginning of the Financial Year				
i)	Principal Amount	-	-	-	-
ii)	Interest due but not paid	-	-	-	-
iii)	Interest accrued but not due	-	-	-	-
	Total (i+ii+iii)	-	-	-	-
	Change in Indebtedness during the year				
i)	Addition	-	-	-	-
ii)	Reduction	-	-	-	-
	Net Change	-	-	-	-
	Indebted at the end of the Financial Year				
i)	Principal Amount	-	-	-	-
ii)	Interest due but not paid	-	-	-	-
iii)	Interest accrued but not due	-	-	-	-
	Total (i+ii+iii)	-	-	-	-

VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager: NOT APPLICABLE

S. No.	Particulars of Remuneration	Name of MD/WTD/Manager	Total Amount
1	Gross Salary		
(a)	Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961	-	-
(b)	Value of Perquisites under section 17(2) of the Income Tax Act, 1961	-	-
(c)	Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission	-	-
(a)	as % of profit	-	-
(b)	others, specify	-	-
5	Others, please specify	-	-
	Total (A)	-	-
	Ceiling as per the Act	Not Applicable	

B. Remuneration to other directors

S. No.	Particulars of Remuneration	Name of Director				Total Amount
3	Independent Directors					
	Fees for attending Board/Committee meetings	-	-	-	-	-
	Commission	-	-	-	-	-
	Others, please specify	-	-	-	-	-
	Total (1)	-	-	-	-	-
4	Non Executive Directors	Mr. Adrish Ghosh	Ms. Poonam Mirchandani	Mr. Rajeev Ghadi	Mr. Deepak Agarwal	
	Fees for attending Board/Committee meetings	-	-	-	-	-
	Commission	-	-	-	-	-
	Others, please specify	-	15,083,344	-	-	15,083,344
	Total (2)	-	15,083,344	-	-	15,083,344
	Total (B)=(1+2)	-	15,083,344	-	-	15,083,344
	Total Managerial Remuneration (A+B)					15,083,344
	Overall Ceiling as per the Act	Not Applicable				

C. Remuneration to Key Managerial Personnel other than MD/WTD/Manager: NOT APPLICABLE

S. No.	Particulars of Remuneration	Key Managerial Personnel			Total Amount
		Chief Executive Officer	Company Secretary	Chief Financial Officer	
1	Gross Salary				
(a)	Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961	-	-	-	-
(b)	Value of Perquisites under section 17(2) of the Income Tax Act, 1961	-	-	-	-
(c)	Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	-	-	-	-
2	Stock Option	-	-	-	-
3	Sweat Equity	-	-	-	-
4	Commission				
(a)	as % of profit	-	-	-	-
(b)	others, specify...	-	-	-	-
5	Others, please specify (Provident Fund)	-	-	-	-
	Total	-	-	-	-

VII PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority [RD/ NCLT/ Court]	Appeal made, if any
A. Company					
Penalty	None				
Punishment					
Compounding					
B. Directors					
Penalty	None				
Punishment					
Compounding					
C. Other Officers in Default					
Penalty	None				
Punishment					
Compounding					