

Investor Complaints – BROKING:

Data for month December ' 23

| S N | Received from | Carried forward from previous Month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time^(in days) |
|--------|-------------------------------|---|------------------------------------|------------------|-----------|--|---|--|
| | | | | | | Pending forless than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 for both | | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 for both | | 0 |
| 3 | Stock Exchang es | 0 | 0 | 0 | 0 | 0 for both | | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 for both | | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 for both | | 0 |

Contd ...

Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous Month | Received | Resolved* | Pending** |
|----|--------------------|-------------------------------------|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | Jan 2023 | 0 | 0 | 0 | 0 |
| 2 | Feb 2023 | 0 | 0 | 0 | 0 |
| 3 | Mar 2023 | 0 | 0 | 0 | 0 |
| 4 | Apr 2023 | 0 | 0 | 0 | 0 |
| 5 | May 2023 | 0 | 0 | 0 | 0 |
| 6 | June 2023 | 0 | 0 | 0 | 0 |
| 7 | July 2023 | 0 | 1 | 1 | 0 |
| 8 | Aug 2023 | 0 | 0 | 0 | 0 |
| 9 | Sep 2023 | 0 | 0 | 0 | 0 |
| 10 | Oct 2023 | 0 | 0 | 0 | 0 |
| 11 | Nov 2023 | 0 | 0 | 0 | 0 |
| 12 | Dec 2023 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 1 | 1 | 0 |

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2017-18 | 0 | 0 | 0 | 0 |
| 2 | 2018-19 | 0 | 2 | 2 | 0 |
| 3 | 2019-20 | 0 | 0 | 0 | 0 |
| 4 | 2020-21 | 0 | 4 | 4 | 0 |
| 5 | 2021-22 | 0 | 1 | 1 | 0 |
| 6 | 2022-23 | 0 | 1 | 1 | 0 |
| 7 | 2023-24 | 0 | 1 | 1 | 0 |
| | Grand Total | 0 | 9 | 9 | 0 |