

Investor Complaints – BROKING:

Data for month October ' 23

S N	Received from	Carried forward from previous Month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0 for both		0
2	SEBI (SCORES)	0	0	0	0	0 for both		0
3	Stock Exchang es	0	0	0	0	0 for both		0
4	Other Sources (if any)	0	0	0	0	0 for both		0
5	Grand Total	0	0	0	0	0 for both		0

Contd ...

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Nov 2022	0	0	0	0
2	Dec 2022	0	1	1	0
3	Jan 2023	0	0	0	0
4	Feb 2023	0	0	0	0
5	Mar 2023	0	0	0	0
6	Apr 2023	0	0	0	0
7	May 2023	0	0	0	0
8	June 2023	0	0	0	0
9	July 2023	0	1	1	0
10	Aug 2023	0	0	0	0
11	Sep 2023	0	0	0	0
12	Oct 2023	0	0	0	0
	Grand Total	0	2	2	0

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	2	2	0
3	2019-20	0	0	0	0
4	2020-21	0	4	4	0
5	2021-22	0	1	1	0
6	2022-23	0	1	1	0
7	2023-24	0	1	1	0
	Grand Total	0	9	9	0