



BARCLAYS SECURITIES (INDIA) PRIVATE LIMITED ('BSIPL')

VIGIL MECHANISM

Introduction:

Barclays is committed to providing a respectful and inclusive environment to work in, and encourages you to speak up and raise concerns about the actions and behaviours which have no place at Barclays.

Concerns could relate to a number of things, including a breach in our security, inappropriate conduct, financial crime, harassment, health, safety or environmental risks, or anything likely to harm the reputation of Barclays.

Raising Concerns:

Any employee or director of BSIPL can speak up and raise concerns simply by emailing us at Raising.Concerns@barclayscorp.com or contacting +44 (0)20 7116 4540.

You will be provided with support and guidance as to how your concern is most appropriately addressed.

All matters raised through the Raising Concerns process will be treated seriously and, as necessary, an investigation will be conducted by the appropriate team.

Reference:

The above details are obtained from the Barclays' Global whistleblowing program, adopted by the Board of Directors of BSIPL in line with the applicable provisions of Companies Act, 2013.

Vigil Mechanism:

In addition to contacting the Raising Concerns Team, further to Section 177 of the Companies Act, 2013, BSIPL provides direct access to Mr. Rajeev Ghadi, Director on Board (as required by Indian Company law) where concerns can be reported locally to him at + 91 22 6175 4400 or rajeev.ghadi@barclays.com. Please ensure any concerns directed to him are entitled "**Raising Concerns**".